

**GENERAL INFORMATION SHEET (GIS)**

FOR THE YEAR **2021** (AMENDED)

**STOCK CORPORATION**

**GENERAL INSTRUCTIONS:**

1. FOR USER CORPORATION: THIS GIS SHOULD BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS FROM THE DATE OF THE ANNUAL STOCKHOLDERS' MEETING. DO NOT LEAVE ANY ITEM BLANK. WRITE "N.A." IF THE INFORMATION REQUIRED IS NOT APPLICABLE TO THE CORPORATION OR "NONE" IF THE INFORMATION IS NON-EXISTENT. IF THE ANNUAL STOCKHOLDERS' MEETING IS HELD ON A DATE OTHER THAN THAT STATED IN THE BY-LAWS, THE GIS SHALL BE SUBMITTED WITHIN THIRTY (30) CALENDAR DAYS AFTER THE ELECTION OF THE DIRECTORS, TRUSTEES AND OFFICERS OF THE CORPORATION AT THE ANNUAL MEMBERS' MEETING.
2. IF NO MEETING IS HELD, THE CORPORATION SHALL SUBMIT THE GIS NOT LATER THAN JANUARY 30 OF THE FOLLOWING YEAR. HOWEVER, SHOULD AN ANNUAL STOCKHOLDERS' MEETING BE HELD THEREAFTER, A NEW GIS SHALL BE SUBMITTED/FILED.
3. THIS GIS SHALL BE ACCOMPLISHED IN ENGLISH AND CERTIFIED AND SWORN TO BY THE CORPORATE SECRETARY OF THE CORPORATION.
4. THE SEC SHOULD BE TIMELY APPRISED OF RELEVANT CHANGES IN THE SUBMITTED INFORMATION AS THEY ARISE. FOR CHANGES RESULTING FROM ACTIONS THAT AROSE BETWEEN THE ANNUAL MEETINGS, THE CORPORATION SHALL SUBMIT AMENDED GIS CONTAINING THE NEW INFORMATION TOGETHER WITH A COVER LETTER SIGNED THE CORPORATE SECRETARY OF THE CORPORATION. THE AMENDED GIS AND COVER LETTER SHALL BE SUBMITTED WITHIN SEVEN (7) DAYS AFTER SUCH CHANGE OCCURED OR BECAME EFFECTIVE.
5. SUBMIT FOUR (4) COPIES OF THE GIS TO THE RECEIVING SECTION AT THE SEC MAIN OFFICE, OR TO SEC SATELLITE OFFICES OR EXTENSION OFFICES. ALL COPIES SHALL UNIFORMLY BE ON A4 OR LETTER-SIZED PAPER. THE PAGES OF ALL COPIES SHALL USE ONLY ONE SIDE
6. ONLY THE GIS ACCOMPLISHED IN ACCORDANCE WITH THESE INSTRUCTIONS SHALL BE CONSIDERED AS HAVING BEEN FILED.
7. THIS GIS MAY BE USED AS EVIDENCE AGAINST THE CORPORATION AND ITS RESPONSIBLE DIRECTORS/OFFICERS FOR ANY VIOLATION OF EXISTING LAWS, RULES AND REGULATIONS

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> STRONGHOLD INSURANCE CO., INC.		<b>DATE REGISTERED:</b> 03/21/1960	
<b>BUSINESS/TRADE NAME:</b> STRONGHOLD INSURANCE CO., INC.		<b>FISCAL YEAR END:</b> December 31	
<b>SEC REGISTRATION NUMBER:</b> 16697		<b>CORPORATE TAX IDENTIFICATION NUMBER (TIN):</b> 000-602-270-000	
<b>DATE OF ANNUAL MEETING PER BY-LAWS:</b> Every 3rd Tuesday of February each year		<b>WEBSITE/URL ADDRESS:</b> <a href="http://www.strongholdinsurance.com.ph">www.strongholdinsurance.com.ph</a>	
<b>ACTUAL DATE OF ANNUAL MEETING:</b> February 16, 2021		<b>E-MAIL ADDRESS:</b> <a href="mailto:mail@strongholdinsurance.com.ph">mail@strongholdinsurance.com.ph</a>	
<b>COMPLETE PRINCIPAL OFFICE ADDRESS:</b> 17th Floor, Security Bank Centre, 6776 Ayala Avenue, Makati City		<b>FAX NUMBER:</b> 8891-1148	
<b>COMPLETE BUSINESS ADDRESS:</b> 17th Floor, Security Bank Centre, 6776 Ayala Avenue, Makati City		<b>OFFICIAL E-MAIL ADDRESS</b>	<b>ALTERNATE MOBILE NUMBER</b>
<a href="mailto:fhgarces@strongholdinsurance.com.ph">fhgarces@strongholdinsurance.com.ph</a>	<a href="mailto:jvallejosjr@strongholdinsurance.com.ph">jvallejosjr@strongholdinsurance.com.ph</a>	+63917 817 5979	+63917 137-9006
<b>NAME OF EXTERNAL AUDITOR &amp; ITS SIGNING PARTNER:</b> I. T. SABADO & ASSOCIATES, CPAs		<b>SEC ACCREDITATION NUMBER (if applicable):</b>	<b>TELEPHONE NUMBER(S):</b> 8891-1329 to 37
<b>PRIMARY PURPOSE/ACTIVITY/INDUSTRY PRESENTLY ENGAGED IN:</b> To insure all kinds of non-life insurance risks		<b>INDUSTRY CLASSIFICATION:</b> Non-Life Insurance	<b>GEOGRAPHICAL CODE:</b> None

===== INTERCOMPANY AFFILIATIONS =====

PARENT COMPANY	SEC REGISTRATION NO.	ADDRESS
	"N.A."	
SUBSIDIARY/AFFILIATE	SEC REGISTRATION NO.	ADDRESS
	"N.A."	

NOTE: USE ADDITIONAL SHEET IF NECESSARY



GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

Corporate Name:

STRONGHOLD INSURANCE CO., INC.

A. Is the Corporation a covered person under the Anti Money Laundering Act (AMLA), as amended? (Rep. Acts. 9160/9164/10167/10365)  Yes  No

Please check the appropriate box:

1.

- a. Banks
- b. Offshore Banking Units
- c. Quasi-Banks
- d. Trust Entities
- e. Non-Stock Savings and Loan Associations
- f. Pawnshops
- g. Foreign Exchange Dealers
- h. Money Changers
- i. Remittance Agents
- j. Electronic Money Issuers
- k. Financial Institutions which Under Special Laws are subject to Bangko Sentral ng Pilipinas' (BSP) supervision and/or regulation, including their subsidiaries and affiliates.

4.  Jewelry dealers in precious metals, who, as a business, trade in precious metals

5.  Jewelry dealers in precious stones, who, as a business, trade in precious stone

6. Company service providers which, as a business, provide any of the following services to third parties:

- a. acting as a formation agent of juridical persons
- b. acting as (or arranging for another person to act as) a director or corporate secretary of a company, a partner of a partnership, or a similar position in relation to other juridical persons
- c. providing a registered office, business address or accommodation, correspondence or administrative address for a company, a partnership or any other legal person or arrangement
- d. acting as (or arranging for another person to act as) a nominee shareholder for another person

2.

- a. Insurance Companies
- b. Insurance Agents
- c. Insurance Brokers
- d. Professional Reinsurers
- e. Reinsurance Brokers
- f. Holding Companies
- g. Holding Company Systems
- h. Pre-need Companies
- i. Mutual Benefit Association
- j. All Other Persons and entities supervised and/or regulated by the Insurance Commission (IC)

7. Persons who provide any of the following services:

- a. managing of client money, securities or other assets
- b. management of bank, savings or securities accounts
- c. organization of contributions for the creation, operation or management of companies
- d. creation, operation or management of juridical persons or arrangements, and buying and selling business entities

3.

- a. Securities Dealers
- b. Securities Brokers
- c. Securities Salesman
- d. Investment Houses
- e. Investment Agents and Consultants
- f. Trading Advisors
- g. Other entities managing Securities or rendering similar services
- h. Mutual Funds or Open-end Investment Companies
- i. Close-end Investment Companies
- j. Common Trust Funds or Issuers and other similar entities
- k. Transfer Companies and other similar entities
- l. Other entities administering or otherwise dealing in currency, commodities or financial derivatives based there on
- m. Entities administering or otherwise dealing in valuable objects
- n. Entities administering or otherwise dealing in cash Substitutes and other similar monetary instruments or property supervised and/or regulated by the Securities and Exchange Commission (SEC)

8.  None of the above

Describe nature of business:

NON-LIFE INSURANCE COMPANY - Insuring all kinds of non-life insurance risks

B. Has the Corporation complied with the requirements on Customer Due Diligence (CDD) or Know Your Customer (KYC), record-keeping, and submission of reports under the AMLA, as amended, since the last filing of its GIS?

Yes  No



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** STRONGHOLD INSURANCE CO., INC.

**CAPITAL STRUCTURE**

<b>AUTHORIZED CAPITAL STOCK</b>				
	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP) (No. of shares X Par/Stated Value)</b>
	Common	10,000,000	100.00	1,000,000,000.00
	-----			
	-----			
	-----			
<b>TOTAL</b>		<b>10,000,000</b>	<b>TOTAL P</b>	<b>1,000,000,000.00</b>

<b>SUBSCRIBED CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
100%	9	Common	10,000,000		100.00	1,000,000,000.00	100%
		-----					
		-----					
		-----					
<b>TOTAL</b>			<b>10,000,000</b>	<b>TOTAL</b>	<b>TOTAL P</b>	<b>1,000,000,000.00</b>	
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>NUMBER OF SHARES IN THE HANDS OF THE PUBLIC **</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>
		-----					
		"N.A."					
		-----					
		-----					
<b>TOTAL</b>				<b>TOTAL</b>	<b>TOTAL P</b>		
<b>Percentage of Foreign Equity :</b>			<b>TOTAL</b>	<b>TOTAL</b>	<b>TOTAL P</b>		
						<b>TOTAL SUBSCRIBED P</b>	<b>1,000,000,000.00</b>

<b>PAID-UP CAPITAL</b>							
<b>FILIPINO</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>	
100%	9	Common	5,000,000	100.00	500,000,000.00	100%	
		-----					
		-----					
		-----					
<b>TOTAL</b>			<b>5,000,000</b>	<b>TOTAL P</b>	<b>500,000,000.00</b>		
<b>FOREIGN (INDICATE BY NATIONALITY)</b>	<b>NO. OF STOCK-HOLDERS</b>	<b>TYPE OF SHARES *</b>	<b>NUMBER OF SHARES</b>	<b>PAR/STATED VALUE</b>	<b>AMOUNT (PhP)</b>	<b>% OF OWNERSHIP</b>	
		-----					
		"N.A."					
		-----					
		-----					
<b>TOTAL</b>				<b>TOTAL P</b>			
					<b>TOTAL PAID-UP P</b>	<b>500,000,000.00</b>	

NOTE: USE ADDITIONAL SHEET IF NECESSARY

\* Common, Preferred or other classification  
 \*\* Other than Directors, Officers, Shareholders owning 10% of outstanding shares.

# GENERAL INFORMATION SHEET

STOCK CORPORATION

PLEASE PRINT LEGIBLY

<b>CORPORATE NAME:</b>		<b>STRONGHOLD INSURANCE CO., INC.</b>						
DIRECTORS / OFFICERS								
NAME/CURRENT RESIDENTIAL ADDRESS	NATIONALITY	INC'R	BOARD	GENDER	STOCK HOLDER	OFFICER	EXEC. COMM.	TAX IDENTIFICATION NUMBER
1. <b>DAVID C. MERCADO</b> 306 Apo Street, Ayala Alabang Village Muntinlupa City	Filipino	Y	C	M	Y	Chairman of the Board	"C" / "C"	127-522-493-000
2. <b>ROMULO I. DELOS REYES, JR.</b> Unit 22C One Legazpi Park, 121 Rada Street Legazpi Village, Makati City	Filipino	Y	M	M	Y	President & General Manager	"C" / "M"	127-489-539-000
3. <b>FLORENTINO H. GARCES</b> L35, B31, Kiel St., Mercedes Exec. Village Brgy. San Andres, Cainta, Rizal	Filipino	Y	M	M	Y	EVP & Corporate Secretary	"N" / "M"	127-489-338-000
4. <b>CORAZON T. AGUIRRE</b> 14 Florentina St., BPS, Phase 3A, Imus City Cavite	Filipino	N	-	F	Y	Treasurer	"A" / "M"	127-489-137-000
5. <b>NOEL G. MERCADO</b> 12 Sarimanok Street, Mira Nila Homes Pasonong Tamo, Quezon City	Filipino	N	M	M	Y	N/A	"N" / "M"	127-490-171-000
6. <b>CORNELIO T. RIVERA</b> 39 Betty Belmonte, New Manila, Quezon City	Filipino	Y	I	M	Y	N/A	"N" / "M"	102-977-831-000
7. <b>EDMUND V. SAIYO</b> Rm-408 Sunrise Bldg., 226 Ortigas Avenue Greenhills, San Juan	Filipino	N	I	M	Y	N/A	"N" / "M"	150-299-095-000
8. <b>SONIA M. ROCO</b> 17 Ilongot Street, La Vista, Quezon City	Filipino	Y	I	F	Y	N/A	"N" / "M"	202-873-114-000
9.								
10.								
11.								
12.								
13.								
14.								
15.								
<b>INSTRUCTION:</b> FOR SEX COLUMN, PUT "F" FOR FEMALE, "M" FOR MALE. FOR BOARD COLUMN, PUT "C" FOR CHAIRMAN, "M" FOR MEMBER, "I" FOR INDEPENDENT DIRECTOR. FOR INC'R COLUMN, PUT "Y" IF AN INCORPORATOR, "N" IF NOT. FOR STOCKHOLDER COLUMN, PUT "Y" IF A STOCKHOLDER, "N" IF NOT. FOR OFFICER COLUMN, INDICATE PARTICULAR POSITION IF AN OFFICER, FROM VP UP INCLUDING THE POSITION OF THE TREASURER, SECRETARY, COMPLIANCE OFFICER AND/OR ASSOCIATED PERSON. FOR EXECUTIVE COMMITTEE, INDICATE "C" IF MEMBER OF THE COMPENSATION COMMITTEE; "A" FOR AUDIT COMMITTEE; "N" FOR NOMINATION AND ELECTION COMMITTEE. ADDITIONALLY WRITE "C" AFTER SLASH IF CHAIRMAN AND "M" IF MEMBER.								



**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> STRONGHOLD INSURANCE CO., INC.	
<b>TOTAL NUMBER OF STOCKHOLDERS:</b> 9	<b>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</b> 9
<b>TOTAL ASSETS BASED ON LATEST AUDITED FINANCIAL STATEMENTS:</b> 3,230,749,245.00	

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
1 DAVID C. MERCADO - Filipino 306 Apo Street, Ayala Alabang Village Muntinlupa City	Common	3,200,000	320,000,000.00	32.00%	235,625,000.00	127-522-493-000
	<b>TOTAL</b>	<b>3,200,000</b>	<b>320,000,000.00</b>			
2. QUICKWAY HOLDINGS, INC. - Filipino Owned 6/F, Zeta II Annex Building 191 Salcedo Street, Legaspi Village, Makati City	Common	3,500,000	350,000,000.00	35.00%	347,712,500.00	000-413-704-000
	<b>TOTAL</b>	<b>3,500,000</b>	<b>350,000,000.00</b>			
3. ROMULO I. DELOS REYES, JR. - Filipino Unit 22C One Legazpi Park, 121 Rada Street Legazpi Village, Makati City	Common	1,512,000	151,200,000.00	15.00%	111,337,500.00	127-489-539-000
	<b>TOTAL</b>	<b>1,512,000</b>	<b>151,200,000.00</b>			
4. FLORENTINO H. GARCES - Filipino L35, B31, Kiel St., Mercedes Executive Village Brgy. San Andres, Cainta, Rizal	Common	1,210,000	121,000,000.00	12.00%	89,162,500.00	127-489-338-000
	<b>TOTAL</b>	<b>1,210,000</b>	<b>121,000,000.00</b>			
5. CORAZON T. AGUIRRE - Filipino 14 Florentina Street, Bahayang Pag-asa Subdivision Phase 3A, Imus City, Cavite	Common	432,750	43,275,000.00	4.33%	27,965,625.00	127-489-137-000
	<b>TOTAL</b>	<b>432,750</b>	<b>43,275,000.00</b>			
6. NOEL G. MERCADO - Filipino 12 Sarimanok Street, Mira Nila Homes Pasong Tamo, Quezon City	Common	143,750	14,375,000.00	1.44%	14,375,000.00	127-490-171-000
	<b>TOTAL</b>	<b>143,750</b>	<b>14,375,000.00</b>			
7. CORNELIO T. RIVERA - Filipino 39 Betty Belmonte, New Manila, Quezon City	Common	500	50,000.00	0.08%	50,000.00	102-977-831-000
	<b>TOTAL</b>	<b>500</b>	<b>50,000.00</b>			
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>						
			<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>			

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

*Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.*

**GENERAL INFORMATION SHEET**  
STOCK CORPORATION

===== PLEASE PRINT LEGIBLY =====

**CORPORATE NAME:** STRONGHOLD INSURANCE CO., INC.

**TOTAL NUMBER OF STOCKHOLDERS:** \_\_\_\_\_ **NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:** \_\_\_\_\_

**TOTAL ASSETS BASED ON LATEST AUDITED FS:** \_\_\_\_\_

**STOCKHOLDER'S INFORMATION**

NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
8. <b>EDMUND V. SAIYO</b> - Filipino Rm-408 Sunrise Building, #226 Ortigas Avenue Greenhills, San Juan	Common	500	50,000.00		50,000.00	159-299-095-000
	<b>TOTAL</b>	<b>500</b>	<b>50,000.00</b>	0.08%		
9. <b>SONIA M. ROCO</b> - Filipino 17 Ilongot Street, La Vista, Quezon City	Common	500	50,000.00		50,000.00	202-873-114-000
	<b>TOTAL</b>	<b>500</b>	<b>50,000.00</b>	0.07%		
10. <b>NOTHING FOLLOWS</b>						
	<b>TOTAL</b>					
11.						
	<b>TOTAL</b>					
12.						
	<b>TOTAL</b>					
13.						
	<b>TOTAL</b>					
14.						
	<b>TOTAL</b>					
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>			<b>1,000,000,000.00</b>	<b>100.00%</b>	<b>826,328,125.00</b>	
<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>					<b>500,000,000.00</b>	

INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS

Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.



**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

===== PLEASE PRINT LEGIBLY =====

<b>CORPORATE NAME:</b> STRONGHOLD INSURANCE CO., INC.						
<b>TOTAL NUMBER OF STOCKHOLDERS:</b>				<small>NO. OF STOCKHOLDERS WITH 100 OR MORE SHARES EACH:</small>		
<b>TOTAL ASSETS BASED ON LATEST AUDITED FS:</b>						
<b>STOCKHOLDER'S INFORMATION</b>						
NAME, NATIONALITY AND CURRENT RESIDENTIAL ADDRESS	SHARES SUBSCRIBED				AMOUNT PAID (PhP)	TAX IDENTIFICATION NUMBER
	TYPE	NUMBER	AMOUNT (PhP)	% OF OWNERSHIP		
15.  "N.A."						
	<b>TOTAL</b>					
16.						
	<b>TOTAL</b>					
17.						
	<b>TOTAL</b>					
18.						
	<b>TOTAL</b>					
19.						
	<b>TOTAL</b>					
20.						
	<b>TOTAL</b>					
21. OTHERS (Indicate the number of the remaining stockholders)						
	<b>TOTAL</b>					
<b>TOTAL AMOUNT OF SUBSCRIBED CAPITAL</b>						
				<b>TOTAL AMOUNT OF PAID-UP CAPITAL</b>		
<b>INSTRUCTION: SPECIFY THE TOP 20 STOCKHOLDERS AND INDICATE THE REST AS OTHERS</b>						
<small>Note: For PDTC Nominee included in the list, please indicate further the beneficial owners owning more than 5% of any class of the company's voting securities. Attach separate sheet, if necessary.</small>						

**GENERAL INFORMATION SHEET  
STOCK CORPORATION**

PLEASE PRINT LEGIBLY

**CORPORATE NAME: STRONGHOLD INSURANCE CO., INC.**

1. INVESTMENT OF CORPORATE FUNDS IN ANOTHER CORPORATION	AMOUNT (PhP)	DATE OF BOARD RESOLUTION
1.1 STOCKS	42,483,879.00	December 31, 2019
1.2 BONDS/COMMERCIAL PAPER (Issued by Private Corporations)	NONE	
1.3 LOANS/ CREDITS/ ADVANCES	NONE	
1.4 GOVERNMENT TREASURY BILLS	396,736,470.00	December 31, 2019
1.5 OTHERS	48,439.00	December 31, 2019

2. INVESTMENT OF CORPORATE FUNDS IN ACTIVITIES UNDER ITS SECONDARY PURPOSES (PLEASE SPECIFY:)	DATE OF BOARD RESOLUTION	DATE OF STOCKHOLDERS RATIFICATION
NONE		

3. TREASURY SHARES	NO. OF SHARES	% AS TO THE TOTAL NO. OF SHARES ISSUED
NONE		

**4. UNRESTRICTED/UNAPPROPRIATED RETAINED EARNINGS AS OF END OF LAST FISCAL YEAR**

**5. DIVIDENDS DECLARED DURING THE IMMEDIATELY PRECEDING YEAR: "N.A."**

TYPE OF DIVIDEND	AMOUNT (PhP)	DATE DECLARED
5.1 CASH	NONE	
5.2 STOCK	NONE	
5.3 PROPERTY	NONE	
<b>TOTAL</b>	<b>P</b>	

**6. ADDITIONAL SHARES ISSUED DURING THE PERIOD:**

DATE	NO. OF SHARES	AMOUNT
	NONE	

**SECONDARY LICENSE/REGISTRATION WITH SEC AND OTHER GOV'T AGENCY:**

NAME OF AGENCY:	SEC	BSP	IC
TYPE OF LICENSE/REGN.	REG. NO. 16697		LICENSE NO. 2019/43-R
DATE ISSUED:	March 21, 1960		January 1, 2019
DATE STARTED OPERATIONS:	March 21, 1960		

TOTAL ANNUAL COMPENSATION OF DIRECTORS DURING THE PRECEDING FISCAL YEAR (in PhP)	TOTAL NO. OF OFFICERS	TOTAL NO. OF RANK & FILE EMPLOYEES	TOTAL MANPOWER COMPLEMENT
13,615,000.00	21	343	NONE

NOTE: USE ADDITIONAL SHEET IF NECESSARY



I, **FLORENTINO H. GARCES**, Corporate Secretary of **STRONGHOLD INSURANCE CO., INC.**, declare under penalty of perjury that all matters set forth in this GIS have been duly verified by me and to the best of my knowledge and belief are true and correct.

I hereby attest that all the information in this GIS are being submitted in compliance with the rules and regulations of the Securities and Exchange Commission (SEC) the collection, processing, storage and sharing of said information being necessary to carry out the functions of public authority for the performance of the constitutionally and statutorily mandated functions of the SEC as a regulatory agency.

I further attest that I have been authorized by the Board of Directors/Trustees to file this GIS with the SEC.

I understand that the Commission may place the corporation under delinquent status for failure to submit the reportorial requirements three (3) times, consecutively or intermittently, within a period of five (5) years (*Section 177, RA No. 11232*).

Done this 17th day of June, 2021 in Makati City.




**FLORENTINO H. GARCES**

(Signature over printed name)

**SUBSCRIBED AND SWORN** to before me in Makati City on June 17, 2021 by affiant who personally appeared before me and exhibited to me his competent evidence of identity consisting of Non-Professional Driver's License with No. N03-94-157872 issued by Land Transportation Office on October 15, 2017 and valid until October 16, 2022.

Doc. No. 368;  
Page No. 74;  
Book No. X;  
Series of 2021.



**ATTY. DAVE JOHN T. HERNANDEZ**  
NOTARY PUBLIC FOR MAKATI CITY  
COMMISSION NO. M-278  
UNTIL DEC. 31, 2021  
(PER SUPREME COURT EN BANC RESOLUTION DATED  
JUNE 22, 2021 PURSUANT TO D.M. NO. 3795)  
6<sup>th</sup>/F ZETA II ANNEX BUILDING  
191 SALCEDO STREET, LEGASPI VILLAGE  
MAKATI CITY, METRO MANILA  
ROLL OF ATTORNEYS NO. 58749  
IBP OR NO. 141229 / 01-04-2021 / MANILA  
PTR NO. 982467B / 01-04-2021 / MANILA  
MCLE COMPLIANCE NO. VI-0021957 / 04-12-2019

**BENEFICIAL OWNERSHIP DECLARATION**

**FOR THE YEAR: 2021**

SEC REGISTRATION NUMBER:

16697

CORPORATE NAME:

STRONGHOLD INSURANCE CO., INC.

**Instructions:**

1. Identify the Beneficial Owner/s of the corporation as described in the Categories of Beneficial Ownership in items A to I below. List down as many as you can identify. You may use an additional sheet if necessary.
2. Fill in the required information on the beneficial owner in the fields provided for.
3. In the "Category of Beneficial Ownership" column, indicate the letter(s) corresponding thereto. In the event that the person identified as beneficial owner falls under several categories, indicate all the letters corresponding to such categories.
4. If the category is under letter "I", indicate the position held (i.e., Director/Trustee, President, Chief Executive Officer, Chief Operating Officer, Chief Financial Officer, etc.).
5. Do not leave any item blank. Write "N/A" if the information required is not applicable or "NONE" if non-existent.

"Beneficial Owner" refers to any natural person(s) who ultimately own(s) or control(s) or exercise(s) ultimate effective control over the corporation. This definition covers the natural person(s) who actually own or control the corporation as distinguished from the legal owners. Such beneficial ownership may be determined on the basis of the following:

Category

Description

- A Natural person(s) owning, directly or indirectly or through a chain of ownership, at least twenty-five percent (25%) of the voting rights, voting shares or capital of the reporting corporation.
- B Natural person(s) who exercise control over the reporting corporation, alone or together with others, through any contract, understanding, relationship, intermediary or tiered entity.
- C Natural person(s) having the ability to elect a majority of the board of directors/trustees, or any similar body, of the corporation.
- D Natural person(s) having the ability to exert a dominant influence over the management or policies of the corporation.
- E Natural person(s) whose directions, instructions, or wishes in conducting the affairs of the corporation are carried out by majority of the members of the board of directors of such corporation who are accustomed or under an obligation to act in accordance with such person's directions, instructions or wishes.
- F Natural person(s) acting as stewards of the properties of corporations, where such properties are under the care or administration of said natural person(s).
- G Natural person(s) who actually own or control the reporting corporation through nominee shareholders or nominee directors acting for or on behalf of such natural persons.
- H Natural person(s) ultimately owning or controlling or exercising ultimate effective control over the corporation through other means not falling under any of the foregoing categories.
- I Natural person(s) exercising control through positions held within a corporation (i.e., responsible for strategic decisions that fundamentally affect the business practices or general direction of the corporation such as the members of the board of directors or trustees or similar body within the corporation; or exercising executive control over the daily or regular affairs of the corporation through a senior management position). This category is only applicable in exceptional cases where no natural person is identifiable who ultimately owns or exerts control over the corporation, the reporting corporation having exhausted all reasonable means of identification and provided there are no grounds for suspicion.

COMPLETE NAME (Surname, Given Name, Middle Name, Name Extension (i.e., Jr., Sr., III))	SPECIFIC RESIDENTIAL ADDRESS	NATIONALITY	DATE OF BIRTH	TAX IDENTIFICATION NO.	% OF OWNERSHIP <sup>1</sup> / % OF VOTING RIGHTS <sup>2</sup>	TYPE OF BENEFICIAL OWNER <sup>3</sup> Direct (D) or Indirect (I)	CATEGORY OF BENEFICIAL OWNERSHIP
DAVID C. MERCADO	306 Apo Street, Ayala Alabang Village, Muntinlupa City	Filipino	12/29/1940	127-522-493-000	100%	D	ABCDE

Note: This page is not for uploading on the SEC iView.

<sup>1</sup> For Stock Corporations.

<sup>2</sup> For Non-Stock Corporations.





# SECURITIES AND EXCHANGE COMMISSION

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## Company Information

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**SEC Registration No.:** 000016697A

**Company Name:** STRONGHOLD INSURANCE CO. INC.

**Industry Classification:**

**Company Type:**

## Document Information

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